



## CERTIFICATE OF MAILING

## PATENT APPLICATION

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Attorney Docket No.

97005-US-DIV1

on 4/7/2006  
Date

*Cora M. Utley*  
Cora M. Utley

## AMENDMENT TRANSMITTAL LETTER

In re application of: **Christopher L. Chua et al.**

Application Number	Filing Date	Examiner	Confirmation No.	Group Art Unit
09/933,960	8/20/2001	James A. Menefee	9309	2828

Title: **METHOD AND STRUCTURE FOR ELIMINATING POLARIZATION INSTABILITY IN LATERALLY - OXIDIZED VCSELS**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

## CLAIMS AS AMENDED

(1)	(2) Claims Remaining after Amend.	(3)	(4) Highest No. Previously Paid For	(5) No. of Extra Claims	(6) Rate	(7) Additional Fee
Total Claims	15	Minus	20 =	0	x \$ 50	\$ 0.00
Indep. Claims	2	Minus	3 =	0	x \$ 200	\$ 0.00
Total Additional Fee for this Amendment						\$ 0.00

- ☒ No additional fee is required.
- ☐ Charge \$ 0.00 to Deposit Account No. 24-0025. *An additional copy of this sheet is enclosed.*
- ☒ Please charge any additional fees under 37 CFR 1.16, 1.17, 1.21 and 1.136(a) (but not 1.18), or credit any overpayment, to Deposit Account No. 24-0025. *An additional copy of this sheet is enclosed.*
- ☒ This constitutes a request for any needed extension of time and an authorization to charge all fees therefor to the above deposit account, if not otherwise specifically requested. This is also an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
- ☒ A return receipt postcard is enclosed.
- ☒ Additional papers enclosed: Petition for 2-Month Extension of Time, Declaration Under 37 CFR 1.132, Supplemental IDS and 1449

*Kent M. Chen*  
Kent M. Chen

Signature under 37 CFR 1.33 &amp; 34

Registration No. 39,630

Telephone No. 714-565-1158

Date of Signature: April 7, 2006

Customer No.: 35490



Application No. 09/933,960

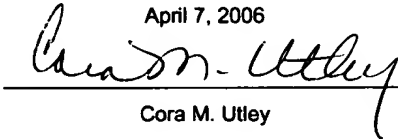
**PATENT APPLICATION**  
**Attorney Docket No. 97005-US-DIV1**

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P. O. Box 1450  
Alexandria, VA 22313-1450  
on

April 7, 2006

  
Cora M. Utley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Christopher L. Chua                      Date: April 10, 2006  
Serial No.: 09/933,960                      Group: 2828  
Filed: August 20, 2001                      Examiner: Menefee, James A.  
Title: **METHOD AND STRUCTURE FOR ELIMINATING POLARIZATION  
INSTABILITY IN LATERALLY-OXIDIZED VCSELS**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AND RESPONSE**

In response to the Office Action mailed November 9, 2005, Applicant respectfully submits the following Remarks and Declarations.